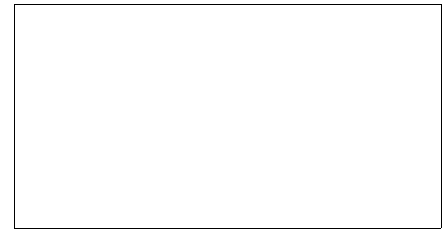




Mail completed form to:  
30-30 47th Avenue, 10th Fl  
Long Island City, NY 11101



**Safeguards Information Affidavit - 2016  
Tier 1 & 2**

Tier 1 and Tier 2 disability retirees are required to report their earnings to NYCERS on an annual basis by filing this form. It is important that you read and understand the fact sheet on the opposite side of this page and return this form to NYCERS. **NOTE: If the address you provide on this form is different from your address in our system, the new address will become your official address in our records.** Should you have any questions regarding this application, please contact our Call Center at 347-643-3000.

**Retiree Information**

Pension Number	Last 4 Digits of SSN	Home Phone Number
		(   )
First Name	M.I.	Last Name
Address		Apt. Number
City	State	Zip Code

**Income or Gross Earnings**

Please enter your gross earnings from January 1, 2016 to December 31, 2016. Read the fact sheet on page 2 to understand what types of income are included in your gross earnings. **DO NOT INCLUDE:** social security benefits, workers' compensation payments, inheritance, retirement benefits, income earned from rental property, stocks, bonds, IRAs and interest earned on deposits.

Total Earnings	Name of Employer(s) Individual or Corporation	Address of Employer(s)	Period of Employment Each Employer to be Listed	Occupation or Job
\$				
\$				
\$				
\$				
\$				

**Employment**

Were you self-employed?                      Y                       N

If yes, please indicate the following:

Name of Business	Address	Type of Business	Personal Income Derived

**Sign this form and have it notarized, Page 2**



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Pension Number	Last 4 Digits of SSN

I hereby certify that I have read and understand the above questions and answers and that the information I have furnished on this affidavit is true, correct and complete.

**Pursuant to The Penal Code of the State of New York, offering a document containing false statements or false information constitutes a felony punishable by a maximum of 4 years imprisonment. All documents suspected of containing false statements will be referred to The New York City Department of Investigation for investigation.**

Signature of Pensioner	Date

**This form must be acknowledged before a Notary Public or Commissioner of Deeds**

State of \_\_\_\_\_ County of \_\_\_\_\_ On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me the above named, \_\_\_\_\_, to me known, and known to me to be the individual described in and who executed the foregoing instrument, and he or she acknowledged to me that he or she executed the same, and that the statements contained therein are true.

If you have an official seal, affix it

Signature of Notary Public or Commissioner of Deeds \_\_\_\_\_

Official Title \_\_\_\_\_

Expiration Date of Commission \_\_\_\_\_

**Frequently Asked Questions**

**What is defined as Gross earnings?**

Gross earnings is defined as any income for the calendar year including: salary, wages, commissions, fees, etc. received for personal services rendered and income derived from self-employment. **EXCLUDED** from the definition are the following: social security benefits, workers' compensation payments, inheritance, retirement benefits, income earned on rental property, stocks, bonds, IRA's and interest on deposits in bank accounts.

**What are earnings limitations?**

Limits Before Attaining Service Retirement Age

Section 13-171 of the Administrative Code provides that a disability retiree may receive income from employment in the **private** sector or the **public** sector if he or she has not yet met the age requirements (service requirements for plans allowing retirement without regard to age) under his or her retirement plan.

The amount a pensioner may earn is the difference between the maximum current salary of the next higher title from that which he or she retired, and the **maximum** pension portion of his or her retirement allowance.

Limits After Attaining Service Retirement Age

Once a disability retiree attains the minimum age requirement (service requirement for plans allowing retirement without regard to age) for his or her retirement plan, Section 1117 of the NYC Charter governs earnings limitations for post-retirement public employment. Section 1117 provides that a retiree's pension must be suspended if his or her total pension and earned income from the City, State or a municipality within New York State exceeds \$1,800 in any year. NYC Transit retirees are not subject to this limitation.

**Income from Public Benefit Corporations or the private sector is exempt from the \$1,800 limitation in the NYC Charter.**

**What happens if I don't file this affidavit?**

If NYCERS does not have this form on file, your pension will be suspended until a completed, correct form is received.

**What if I make a mistake?**

If this affidavit contains errors or is incomplete your pension will be suspended until a completed, correct form is received.

**Sign this form and have it notarized, THIS PAGE**